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Dated: October 6, 2006

Signature:



(Lori Sims)

Patent

Docket No. 275412004100

Client Reference No. 62125/04R00525/US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Tomotoshi SATO

Serial No.: 10/573,460

Int'l Filing Date: September 24, 2004

For: MEMORY APPARATUS

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.

After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.

A fee is required. A check in the amount of \_\_ is enclosed.

A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.

After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.

A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_ is enclosed.

A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicant would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other

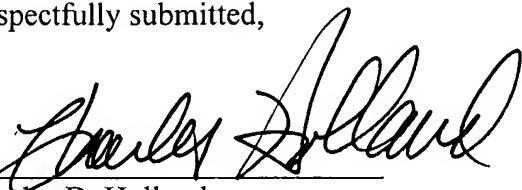
Application Serial No. 10/573,460

Patent  
Docket No. 275412004100  
Client Reference No. 62125/04R00525/US

fees due in connection with the filing of this document to Deposit Account No. 03-1952  
referencing 275412004100.

Dated: October 6, 2006

Respectfully submitted,

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|   |   |    |   |                          |                    |
|---|---|----|---|--------------------------|--------------------|
| <p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p> |   |    |   | <i>Complete if Known</i> |                    |
|   |   |    |   | Application Number       | 10/573,460         |
|   |   |    |   | Int'l. Filing Date       | September 24, 2004 |
|   |   |    |   | First Named Inventor     | Tomotoshi SATO     |
|   |   |    |   | Art Unit                 | Not Yet Assigned   |
|   |   |    |   | Examiner Name            | Not Yet Assigned   |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | 275412004100       |

| U.S. PATENT DOCUMENTS |                       |   |                                |  |   |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
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| FOREIGN PATENT DOCUMENTS |                       |  |                                |  |   |
|--------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear |
|                          | 1.                    | JP-7-85961-A   | 03-31-1995                     | Matshushita Electric Ind. Co. Ltd.                 | Translation of Abstract Only  |
|                          | 2.                    | JP-2002-25292-A  | 01-25-2002                     | Hitachi Ltd. et al.                                | Translation of Abstract Only  |

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| NON PATENT LITERATURE DOCUMENTS |                       |   |  |  |              |
|---------------------------------|-----------------------|---|--|--|--------------|
| Examiner Initials               | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  | <sup>2</sup> |
|                                 | 3.                    | International Preliminary Report of Patentability mailed on July 6, 2006 for International Patent Application No. PCT/JP2004/013960 filed September 24, 2004, six pages.  |  |  |              |

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